



EIC 2800 SEARCH REPORT



STIC Database Tracking Number: 315994

To: MATTHEW SUCH

Location: JEF-6B85

Art Unit: 2891

Thursday, December 03, 2009

Case Serial Number: 10/573,883

From: SCOTT SEGAL

Location: EIC2800

JEF-4B55

Phone: (571)272-1314

scott.segal@uspto.gov

Search Notes

Re: 2,7-Carbazolenevinylene Derivatives as Novel Materials in Producing Organic Based Electronic Devices

Examiner Such:

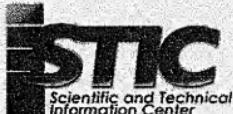
Attached are edited search results from the patent and NPL literature in STN. Databases searched included CAS Registry and Chemical Abstracts. While I did find some NPL that pre-dates the application date, all citations found were authored by the same Assignees and Inventors as 10/573,883. For your interest, I have included some of their newer citations as well.

The asterisked items are some of the results worth your review (pre-dating the Filing Date). However, I recommend that you browse all the results.

If you would like more searching to be done on this case, or if you have questions or comments, please do not hesitate to contact me.

Respectfully,
Scott

Scott Segal
Searcher, STIC-EIC2800
JEF-4B55, 571-272-1314



VOLUNTARY SEARCH FEEDBACK



Art Unit _____

App./Serial # _____

How did you use your search results?

- 102 rejection
- 103 rejection
- Cited in allowance
- Helped better understand state of the art in technology, or specific invention
- Results verified the lack of relevant prior art (helped determine patentability).

Types Patent(s) Non-Patent Literature

In brief - citation #, author, or patent #

You may cut and paste into the box below

COMMENTS

Questions about the scope or the results of the search?

Contact your EIC searcher or EIC Supervisor.

Please submit completed form to your EIC.

STIC USE ONLY

Today's Date _____

01/09

Additional Notes if applicable (please indicate all actions including emails, phone calls, and individuals assisting).



EIC 2800 SEARCH REQUEST

315994



DEC 2 2009
Today's Date 12/2/2009

Name MATTHEW W. SUCH

AU/Org. 2891 Employee # 81973

Bld.&Rm.# JEF 6B85 Phone 2-8895

Priority App. Filing Date 10/2/2003

Case/App. # 10/573883

Format for Search Results

EMAIL

PAPER

If this is an Appeals case, check here

Describe this invention in your own words

Please search the 4 compounds listed on the attached page.

Synonyms

Thanks!

Additional Comments

Please submit completed form to your EIC.

STIC USE ONLY

01/09

Searcher Satt Segal

Date Completed 12/3/09

Phone 2-1314

Sources CAS Registry, Chemical Abstracts

~~10/573833~~ 10/573883

Priority Date: 2 October 2003

- (1) 1,4-bis(vinylene-(N-methyl-7-hexyl-2-carbazole))phenylene
- (2) 1,4-bis(vinylene-(N-hexyl-2-carbazole))phenylene
- (3) [Poly (N-(2-ethylhexyl-2,7-carbazolenevinylene- co-2,5-bis(diphenylamine)-1,4-phenylenevinylene-co-((4-(2-ethylhexyloxy)- phenyl)-bis-(4'-phenylene)amine)]
- (4) [Poly (N-(4-hexyloxyphenyl)-2,7-carbazolenevinylene-alt-(3-hexyl-2,5-thiophenevinylene))]

Search History

10/573, 883

12/3/09

STN

10:06:08 ON 03 DEC 2009
11:46:38 ON 03 DEC 2009

FILE 'HCAPLUS' ENTERED AT 10:06:16 ON 03 DEC 2009

L1 0 SEA ABB=ON PCVPDATA
L2 0 SEA ABB=ON PPCVTT
L3 4 SEA ABB=ON RCTPCR
D ALL 1-4

FILE 'REGISTRY' ENTERED AT 10:07:52 ON 03 DEC 2009

L4 1 SEA ABB=ON 844886-65-3/RN
SET NOTICE 500 DISPLAY
D L4 SQIDE 1-
SET NOTICE LOGIN DISPLAY
L5 1 SEA ABB=ON 887781-96-6/RN
SET NOTICE 500 DISPLAY
D L5 SQIDE 1-
SET NOTICE LOGIN DISPLAY
L6 0 SEA ABB=ON RCPCCR/CN
L7 2 SEA ABB=ON CPC/CN
D 1-2
L8 0 SEA ABB=ON PCVDPAP/CN
L9 0 SEA ABB=ON PCVDPAP
L10 0 SEA ABB=ON PCVDATA
L11 0 SEA ABB=ON PCVDATA/CN
E 1, 4-BIS (VINYLENE- (N-METHYL-7-HEXYL?/CN
E 1, 4-BIS (VINYLENE- (N-METHYL-7/CN
E 1, 4-BIS(VINYLENE- (N-METHYL-7/CN
E 1, 4-BIS (VINYLENE- (N-METHYL/CN
E 1, 4-BIS (VINYLENE/CN

FILE 'HCAPLUS' ENTERED AT 10:12:26 ON 03 DEC 2009

L12 2 SEA ABB=ON ?METHYL-7-HEXYL-2-CARBAZOLE?
L13 3 SEA ABB=ON ?HEXYL-2-CARBAZOLE?
L14 1 SEA ABB=ON ?ETHYLHEXYL-2, 7-CARBAZOLEDENEVINYLENE?
L15 0 SEA ABB=ON ?HEXYLOXYPHENYL(W)2, 7-CARB?
L16 0 SEA ABB=ON ?HEXYLOXYPHENYL(1W)2, 7-CARB?
L17 971 SEA ABB=ON ?HEXYLOXYPHENYL?
L18 0 SEA ABB=ON ?HEXYLOXYPHENYL? AND ?CARBAZOLENE?
L19 0 SEA ABB=ON ?HEXYLOXYPHENYL? AND ?THIOPHENEVINYLENE?
L20 30 SEA ABB=ON ?THIOPHENEVINYLENE?
L21 0 SEA ABB=ON L20 AND ?HEXYLOXYPHENYL?
L22 1 SEA ABB=ON L20 AND ?OXYPHENYL?
L23 4 SEA ABB=ON (L12 OR L13 OR L14) OR L22
D ALL 1-4

FILE 'REGISTRY' ENTERED AT 11:25:24 ON 03 DEC 2009

L24 14 SEA ABB=ON (695170-05-9 OR 695170-25-3 OR 844886-65-3 OR
844886-70-0 OR 31110-89-1 OR 695169-64-3 OR 844886-59-5 OR
844886-60-8 OR 844886-61-9 OR 844886-62-0 OR 844886-63-1 OR
844886-64-2 OR 887781-97-7 OR 887781-97-7 OR 887781-98-8) /RN
L25 1 SEA ABB=ON (695170-05-9 OR 695170-25-3 OR 844886-65-3 OR
844886-70-0 OR 31110-89-1 OR 695169-64-3 OR 844886-59-5 OR
844886-60-8 OR 844886-61-9 OR 844886-62-0 OR 844886-63-1 OR
844886-64-2 OR 887781-97-7 OR 887781-97-7 OR 887781-98-8) /CRN
L26 14 SEA ABB=ON (695170-02-6 OR 695170-07-1 OR 695170-09-3 OR
695170-11-7 OR 695170-14-0 OR 695170-16-2 OR 695170-18-4 OR
695170-20-8 OR 695170-27-5 OR 695170-29-7 OR 695170-31-1 OR
695170-05-9 OR 695170-23-1 OR 695170-25-3) /RN
L27 0 SEA ABB=ON (695170-02-6 OR 695170-07-1 OR 695170-09-3 OR
695170-11-7 OR 695170-14-0 OR 695170-16-2 OR 695170-18-4 OR
695170-20-8 OR 695170-27-5 OR 695170-29-7 OR 695170-31-1) /CRN
L28 14 SEA ABB=ON (695270-23-1 OR 844886-66-4 OR 844886-66-4 OR
844886-67-5 OR 844886-68-6 OR 844886-71-1 OR 844886-72-2 OR
844886-73-3 OR 844886-74-4 OR 944131-83-3 OR 944131-88-8 OR
944131-79-7 OR 944131-84-4 OR 944131-80-0 OR 944131-70-8 OR
944131-77-5) /RN
L29 0 SEA ABB=ON (695270-23-1 OR 844886-66-4 OR 844886-66-4 OR
844886-67-5 OR 844886-68-6 OR 844886-71-1 OR 844886-72-2 OR

844886-73-3 OR 844886-74-4 OR 944131-83-3 OR 944131-88-8 OR
 944131-79-7 OR 944131-84-4 OR 944131-80-0) /CRN
 L30 15 SEA ABB=ON (944131-85-5 OR 944131-81-1 OR 944131-86-6 OR
 844886-57-3 OR 844886-56-2 OR 944131-82-2 OR 944131-87-7 OR
 944131-68-4 OR 944131-66-2 OR 944131-70-8 OR 944131-72-0 OR
 944131-74-2 OR 944131-76-4 OR 944131-77-5 OR 944131-64-0 OR 944131-76-4) /RN
 L31 6 SEA ABB=ON (944131-85-5 OR 944131-81-1 OR 944131-86-6 OR
 844886-57-3 OR 844886-56-2 OR 944131-82-2 OR 944131-87-7 OR
 944131-68-4 OR 944131-66-2 OR 944131-70-8 OR 944131-72-0 OR
 944131-74-2 OR 944131-76-4 OR 944131-77-5 OR 944131-64-0) /CRN
 L32 15 SEA ABB=ON (695169-57-4 OR 695169-60-9 OR 695169-62-1 OR
 695169-64-3 OR 695169-65-4 OR 695169-67-6 OR 695169-69-8 OR
 695169-71-2 OR 695169-75-6 OR 695169-77-8 OR 695169-79-0 OR
 695169-81-4 OR 695169-91-6 OR 695169-93-8 OR 695169-95-0) /RN
 L33 15 SEA ABB=ON (695169-97-2 OR 695169-99-4 OR 844886-57-3 OR
 844886-59-1 OR 844886-55-1 OR 844886-57-3 OR 844886-58-4 OR
 844886-61-2 OR 844886-63-1 OR 844886-64-2 OR 845507-59-7 OR
 944131-85-5 OR 944131-82-2 OR 944131-87-7 OR 944131-66-2 OR 944131-68-4) /RN
 L34 73 SEA ABB=ON (L24 OR L25 OR L26 OR L27 OR L28 OR L29 OR L30 OR L31 OR L32 OR L33)

 FILE 'HCAPLUS' ENTERED AT 11:26:33 ON 03 DEC 2009
 L35 13 SEA ABB=ON L34
 L36 8 SEA ABB=ON (695170-05-9 OR 695170-25-3 OR 844886-65-3 OR
 844886-70-0 OR 31110-89-1 OR 695169-64-3 OR 844886-59-5 OR
 844886-60-9 OR 844886-61-9 OR 844886-62-0 OR 844886-63-1 OR
 844886-64-2 OR 887781-97-7 OR 887781-97-7 OR 887781-98-8) /RN
 L37 4 SEA ABB=ON (695170-02-6 OR 695170-07-1 OR 695170-09-3 OR
 695170-11-7 OR 695170-14-0 OR 695170-16-2 OR 695170-18-4 OR
 695170-20-8 OR 695170-25-0 OR 695170-29-7 OR 695170-31-1 OR
 695170-05-9 OR 695170-23-1 OR 695170-25-3) /RN
 L38 3 SEA ABB=ON (695270-23-1 OR 844886-66-4 OR 884886-66-4 OR
 844886-67-5 OR 844886-68-6 OR 844886-71-1 OR 844886-72-2 OR
 844886-73-3 OR 844886-74-4 OR 944131-83-3 OR 944131-88-8 OR
 944131-79-7 OR 944131-84-4 OR 944131-80-0 OR 944131-70-8 OR 944131-77-5) /RN
 L39 3 SEA ABB=ON (944131-85-5 OR 944131-81-1 OR 944131-86-6 OR
 844886-57-3 OR 844886-56-2 OR 944131-82-2 OR 944131-87-7 OR
 944131-68-2 OR 944131-66-2 OR 944131-70-8 OR 944131-72-0 OR
 944131-74-2 OR 944131-76-4 OR 944131-77-5 OR 944131-64-0 OR 944131-76-4) /RN
 L40 8 SEA ABB=ON (695169-57-4 OR 695169-60-9 OR 695169-62-0 OR
 695169-64-3 OR 695169-65-4 OR 695169-67-6 OR 695169-69-8 OR
 695169-71-2 OR 695169-75-6 OR 695169-77-8 OR 695169-79-0 OR
 695169-81-4 OR 695169-91-6 OR 695169-93-8 OR 695169-95-0) /RN
 L41 6 SEA ABB=ON (695169-97-2 OR 695169-99-4 OR 844886-57-3 OR
 844886-59-5 OR 844886-55-1 OR 844886-57-3 OR 844886-58-4 OR
 844886-61-9 OR 844886-63-1 OR 844886-64-2 OR 845507-59-7 OR
 944131-85-5 OR 944131-82-2 OR 944131-87-7 OR 944131-66-2 OR 944131-68-4) /RN
 L42 13 SEA ABB=ON (L35 OR L36 OR L37 OR L38 OR L39 OR L40 OR L41)
 L43 9 SEA ABB=ON L42 NOT L23
 D ALL 1-9
 E MORIN J, 2001/RE
 L44 3 SEA ABB=ON (MORIN? AND LECLERC?) /AU AND 2001/PY
 D ALL 1-3
 L45 14 SEA ABB=ON (MORIN? AND LECLERC?) /AU AND 2002/PY
 0 SEA ABB=ON L45 AND MACROMOLECULES/JN
 L46 0 SEA ABB=ON L45 AND MACROMOLECULES
 L47 0 SEA ABB=ON L45 AND V34
 L48 0 SEA ABB=ON L45 AND V35
 L49 0 SEA ABB=ON L45 AND 8413
 L50 0 SEA ABB=ON L45 AND 8413
 E MORIN J, 2002/RE
 L51 2 SEA ABB=ON L45 AND MACROMOLECULES/JT
 D ALL 1-2
 L52 14 SEA ABB=ON (MORIN? AND LECLERC?) /AU AND 2002/PY
 L53 1 SEA ABB=ON L52 AND MACROMOLECULAR RAPID COMMUNICATIONS/JT
 D ALL
 L54 1 SEA ABB=ON (MORIN? AND TAO? AND LECLERC?) /AU AND 2002/PY
 D ALL
 L55 0 SEA ABB=ON (MORIN? AND BEAUPRE? AND LEVESQUE?) /AU AND 2002/RE
 L56 0 SEA ABB=ON (MORIN? AND BEAUPRE? AND LEVESQUE?) /AU AND 2002/RE

10/573,883

12/3/09

STN

Same Inventor +

Assigned as

10/573,883



- L51 ANSWER 1 OF 2 COPYRIGHT ACS on STN
AN 2002:737955 HCAPLUS
TI 2,7-Carbazole-Based Conjugated Polymers for
Emission
AU Morin, Jean-Francois; Leclerc, Mario
CS Centre de Recherche en Sciences et Ingénierie des Macromolécules,
Département de Chimie, Université Laval, Québec City, QC, G1K 7P4, Can.
SO Macromolecules (2002), 35 (22), 8413-8417
CODEN: MAMOBX; ISSN: 0024-9297
PB American Chemical Society
DT Journal
LA English
AB Light-emitting 2,7-carbazole-based homopolymers and copolymers were prepared by Yamamoto or Suzuki cross-coupling reaction. Poly(N-(2-ethylhexyl)-2,7-carbazole) (PEHC), poly(N-octadecyl-2,7-carbazole) (PODC), and poly(N-(2-ethylhexyl)-2,7-carbazole-alt-4-heptyl-2,5-pyridine) (PCPy) emit blue light while poly(N-(2-ethylhexyl)-2,7-carbazole-alt-2,3-dihexyl-5,8-quinoxaline) (PCQ) and poly(N-(2-ethylhexyl)-2,7-carbazole-alt-3,3',4'',3''''-tetramethyl-3'',4'',4'''-dihexyl-2,2':5',2'':5'',2'''':5''''-quinoxathiophene-1'',1''-dioxide) (PCPTO) emit green and red light, resp. The fluorescence quantum yield in chloroform solution ranges from 25% for PCPTO to 83% for PCQ. All these 2,7-carbazole-based polymers do not show any evidence of excimer formation in the solid state. Most of these polymers exhibit a glass transition at ca. 60-70 °C with a degradation temperature above 385 °C. These new polymeric materials should allow the development of efficient blue-, green-, and red-light-emitting diodes with improved optical stability.
IT Fluorescence
Glass transition temperature
UV and visible spectra
(of 2,7-carbazole-based conjugated polymers for blue, green, and red light emission)
IT Suzuki coupling reaction
(used in the preparation of 2,7-carbazole-based conjugated polymers for blue, green, and red light emission)
IT 353276-27-4P 353276-28-5P 444289-49-0P,
Poly(9-octyl-9H-carbazole-2,7-diyl) 476360-82-4P 476360-85-7P
476360-86-8P 476360-88-0P 476360-89-1P 476360-90-4P 476360-91-5P
476614-67-2P
RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)
(preparation of 2,7-carbazole-based conjugated polymers for blue, green, and red light emission using Yamamoto or Suzuki cross-coupling reaction)

Same Assignee +
Inventors as

10/573,883



10/573,883

12/3/09

STN

L44 ANSWER 2 OF 3 COPYRIGHT ACS on STN

AN 2001:404859 HCAPLUS

TI Syntheses of Conjugated Polymers Derived from N-?

AU Morin, Jean-Francois; Leclerc, Mario

CS Canada Research Chair in Polymer Chemistry Department of Chemistry Centre
de Recherche en Sciences et Ingénierie des Macromolécules, Université
Laval, Québec City, QC, G1K 7P4, Can.

SO Macromolecules (2001), 34(14), 4680-4682

PE American Chemical Society

DT Journal

LA English

AB N-alkyl-2,7-dichlorocarbazoles were prepared in three straightforward steps, Suzuki coupling, reductive Cadogan ring closure, and alkylation. Homopolymers were achieved by reductive Yamamoto reaction of N-alkyl-2,7-dihalo-carbazoles in the presence of P(Ph)3, Zn, 2,2'-bipyridine, and NiCl2 catalyst system. Alternating conjugated copolymers were prepared by Suzuki coupling of diboronic functionalized aromatic compds. and N-alkyl-2,7-diiodocarbazole (or dibromo) derivs. The resulting conjugated poly[N-octyl-2,7-carbazole-alt-9,9-diethyl-2,7-fluorene)s] are completely soluble in common organic solvents, such as chloroform and THF. However, poly(N-octyl-2,7-carbazole) and poly[N-(2-ethylhexyl)-2,7-carbazole] are only partially soluble (ca. 50 % fraction) and an even smaller fraction (ca. 10 %) of poly[N-(2-ethylhexyl)-2,7-carbazole-alt-5,5'-(2,2'-bithiophene)] is soluble in these solvents. In dilute solns. or as thin films poly(N-octyl-2,7-carbazole) exhibits an absorption maximum around 380-390 nm, leading to a pale yellow color and does not exhibit thermochromic or solvatochromic properties, however it has an intense blue emission upon radiative excitation, with a quantum yield of about 80% in chloroform, at room temperature. The pale yellow poly(N-octyl-2,7-carbazole-alt-9,9-diethyl-2,7-fluorene) exhibits solution and solid-state blue emission (without the presence of excimer) with a maximum at 417 and 450 nm, resp. Poly[N-(2-ethylhexyl)-2,7-carbazole-alt-5,5'-(2,2'-bithiophene)] emits a green radiation with a maximum of emission at 504 nm, the fluorescence quantum yield is 30%. Structural modifications through the synthesis of alternating copolymers makes it feasible to develop tunable light-emitting polymers.

IT Heterocyclization

(Cadogan; coupling-ring closure-alkylation route in preparation of
N-alkylcarbazoles and coupling polymerization to obtain conjugated
homopolymers and copolymers with bithiophene)

IT 6402-13-7P, 2,7-Diaminocarbazole 102871-58-9P 192942-45-3P

344863-34-9P 353276-18-3P 353276-21-8P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
(Reactant or reagent)

(intermediate; coupling-ring closure-alkylation route in preparation of
N-alkylcarbazoles and coupling polymerization to obtain conjugated
homopolymers and copolymers with bithiophene)

10/573,883

12/3/09

STN

Same Assignee
+ Inventor
as 10/573,883

L51 ANSWER 2 OF 2 COPYRIGHT ACS on STN

AN 2002:101801 HCAPLUS

TI Electrochemical, conductive, and magnetic pro
2,7-carbazole-based conjugated polymersAU Zotti, Gianni; Schiavon, Gilberto; Zecchin, Sandro; Morin,
Jean-Francois; Leclerc, MarioES Istituto di Polarografia ed Elettrochimica Preparativa, Consiglio
Nazionale delle Ricerche, Padua, 35020, Italy

SO Macromolecules (2002), 35(6), 2122-2128

CODEN: MAMOBX; ISSN: 0024-9297

PB American Chemical Society

DT Journal

LA English

AB Novel poly(2,7-carbazole)s (i.e., poly(N-octyl-2,7-carbazole-diyl) and poly(N-(4-hexyl-benzoyl)-2,7-carbazole-diyl)) and their alternating thiophene, bi-thiophene, and 3,4-ethylenedioxy-2,5-thiénylene copolymers have been investigated by cyclic voltammetry, UV-vis spectroelectrochem., electrochem. quartz crystal microbalance, in-situ ESR, and in-situ conductivity techniques. All polymer films undergo reversible oxidation and partially reversible reduction processes. In poly(N-octyl-2,7-carbazole-diyl), two isoelectronic oxidation processes produce radical cations and dication with charge localization at the carbazole subunits. The presence of a strong electron-withdrawing substituent onto the nitrogen atom in the homopolymer leads to an increase by 3 orders of magnitude of the conductivity (i.e., 1 + 10⁻² S/cm). Similarly, in alternating copolymers, the oxidative charge is more delocalized over the polyconjugated backbone with in-situ conductivities in the range of 4 + 10⁻²-4 + 10⁻³ S/cm.

ST carbazole thiophene conjugated polymer pregn oxidn cyclic voltammetry cond

IT Cyclic voltammetry

ESR (electron spin resonance)

Optical absorption

Oxidation

Oxidation potential

Polymerization catalysts

Redox potential

(2,7-carbazole-based conjugated polymers)

IT Band gap

Electric conductivity

(electrochem. and optical; 2,7-carbazole-based conjugated polymers)

IT Conducting polymers

(polythiophenes, carbazole group-containing; 2,7-carbazole-based conjugated polymers)

(2,7-carbazole-based conjugated polymers)

IT 50606-95-6, 4-Hexylbenzoyl chloride 102871-58-9, 2,7-Dichlorocarbazole

RL: RCT (Reactant); RACT (Reactant or reagent)

(monomer synthesis; 2,7-carbazole-based conjugated polymers)

IT 406726-90-7P 406726-91-8P 406726-92-9P 406726-93-0P

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT

(Reactant or reagent)

(monomer; 2,7-carbazole-based conjugated polymers)

IT 603-35-0, Triphenylphosphine, uses 7447-41-8, Lithium chloride, uses

13965-03-2, Bis(triphenylphosphine)palladium dichloride 14221-01-3,

Tetrakis(triphenylphosphine)palladium

RL: CAT (Catalyst use); USES (Uses)

(polymerization catalyst; 2,7-carbazole-based conjugated polymers)

Same Inventors

+
Assignee as

10/573,883

X

- 10/573,883 12/3/09 STN
- L53 ANSWER 1 OF 1 COPYRIGHT ACS on STN
AN 2003:109505 HCAPLUS
TI Blue-light-emitting conjugated polymers derived from 2,7-carbazoles
AU Morin, Jean-Francois; Boudreault, Pierre-Luc; Leclerc, Mario
CS Canada Research Chair in Electroactive and Photoactive Polymers, Centre de recherche en sciences et ingenierie des macromolecules, Departement de chimie, Universite Laval, Quebec City, QC, G1K 7P4, Can.
SO Macromolecular Rapid Communications (2002), 23(17)
1032-1036
CODEN: MRCOE3; ISSN: 1022-1336
PB Wiley-VCH Verlag GmbH & Co. KGaA
DT Journal
LA English
CC 35-5 (Chemistry of Synthetic High Polymers)
Section cross-reference(s): 36, 73
AB Blue-light-emitting 2,7-carbazole-based conjugated copolymers have been prepared by Yamamoto or Suzuki cross-coupling reactions. By introducing highly substituted aromatic comonomers, fully soluble high-mol.-weight copolymers have been obtained. Moreover, these amorphous polymeric materials exhibit good thermal stability and interesting redox properties. All these features make these new conjugated polymers highly promising for the development of single-polymer-layer blue-light-emitting diodes.
ST carbazole conta conjugated polymer synthesis optical thermal electrochem property; blue light emitting diode carbazole conta conjugated polymer
IT UV absorption
(UV-visible; of blue-light-emitting conjugated polymers derived from 2,7-carbazoles)
IT Electroluminescent devices
(blue-emitting; blue-light-emitting conjugated polymers derived from 2,7-carbazoles)
IT Polymers, preparation
RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)
(conjugated; blue-light-emitting conjugated polymers derived from 2,7-carbazoles)
IT Band gap
Fluorescence
Glass transition temperature
HOMO (molecular orbital)
LUMO (molecular orbital)
Luminescence, electroluminescence
Oxidation potential
Polymer chains
Reduction potential
Thermal stability
(of blue-light-emitting conjugated polymers derived from 2,7-carbazoles)
IT Band gap
(optical; of blue-light-emitting conjugated polymers derived from 2,7-carbazoles)
IT 515821-39-3P 515821-40-6P 515821-42-8P 515821-43-9P 524944-11-4P
RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)
(blue-light-emitting conjugated polymers derived from 2,7-carbazoles)

US Provisional Patent
Filing date 8/15/03

Same Inventors +
Assignee as 10/573,883

10/573,883

12/3/09

STN

L23 ANSWER 3 OF 4 COPYRIGHT ACS on STN
AN 2005:158637 HCAPLUS
TI Monomers, oligomers and polymers of 2-functionalized and 2,7-difunctionalized carbazoles
IN Leclerc, Mario; Morin, Jean-Francois
PA Universite Laval, Can.
SO PCT Int. Appl., 72 pp.
DT Patent
LA English

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
PI	WO 2005016882	A1	20050224	WO 2004-CAL1509	20040816
	CA 2535497	A1	20050224	CA 2004-2535497	20040816
	EP 1660450	A1	20060531	EP 2004-761673	20040816
	JP 2007502251	T	20070208	JP 2006-522863	20040816
	US 20070069197	A1	20070329	US 2006-568303	20061020
PKAI	US 2003-495113P	P	20030815		
	WO 2004-CAL1509	W	20040816		

AB The present invention relates to 2-functionalized and 2,7-difunctionalized carbazoles and 2,7-carbazolenevinylene oligomers and polymers. More specifically, the present invention relates to a compound of formula (I): wherein R1 is selected from the group consisting of H, alkyl, and aryl; and wherein R2 and R3 are independently selected from the group consisting of H, alkyl, formyl, hydroxymethyl, trityloxymethyl, acetonitrile, chloromethyl, methylphosphonate, methyltriphenylphosphonium and vinyl. The oligomers and polymers are used in field-effect transistors, light-emitting devices such as light-emitting diodes, and solar cells.

IT Electroluminescent devices

Field effect transistors

Solar cells

(production of monomers, oligomers and polymers of 2-functionalized and 2,7-difunctionalized carbazoles)

IT 695170-02-6P, N-Hexyl-2,7-bis(vinylenephenylene)carbazole 695170-07-1P,
N-Hexyl-2,7-bis(vinylene-(N-hexyl-2-carbazole
)carbazole 695170-11-7P, 5,5'-Bis(vinylene-(N-hexyl-2-
-carbazole))-2,2'-bithiophene 695170-14-0P,
N-(2-Ethylhexyl)-2,7-bis(vinylene-4-(1,1'-biphenylene))carbazole
695170-16-2P, N-Hexyl-2,7-bis(cyanovinylenephenylene)carbazole
695170-18-4P 695170-20-8P 695170-23-1P,
2,5-Dioctyloxy-1,4-diiformylbenzene-N-(2-ethylhexyl)-2,7-
bis(acetonitrile)carbazole copolymer 695170-25-3P 844886-65-3P,
1,4-Bis(vinylene-(N-methyl-7-hexyl-2-
-carbazole))phenylene 844886-66-4P,
N-(2-Ethylhexyl)-2,7-bis(formyl)carbazole homopolymer 844886-67-5P,
Poly(N-(2-ethylhexyl)-2,7-
carbazolenevinylene) 844886-68-6P,
2,5-Dioctyloxy-1,4-diiformylbenzene-N-(2-ethylhexyl)-2,7-
bis(methyltriphenylphosphonium chloride)carbazole copolymer 844886-70-0P
844886-71-1P 844886-72-2P, 6,6'-Dibromo-2,2'-bis(2"-ethylhexyloxy)-1,1'-
binaphthyl-2-(2-ethylhexyl)-2,7-divinylcarbazole copolymer 844886-73-3P
844886-74-4P, 3-Hexyl-2,5-bis(diethyl
methylphosphonate)thiophene-N-(4-octyloxyphenyl)-2,7-bis(formyl)carbazole
copolymer 845507-59-7P
RL: IMF (Industrial manufacture); TEM (Technical or engineered material
use); PREP (Preparation); USES (Uses)
(production of monomers, oligomers and polymers of 2-functionalized and
2,7-difunctionalized carbazoles)

Date too New
Same Assignee & Inventors
as 10/573,883

10/573,883

12/3/09

STN

L3 ANSWER 1 OF 4 COPYRIGHT ACS on STN
AN 2005:1178703 HCPLUS

TI 2,7-carbazolenevinylene-based oligomer thin-film transistor
mobility through structural ordering

AU Drolet, Nicolas; Morin, Jean-Francois; Leclerc, Nicolas; Wakim, Salem;
Tao, Ye; Leclerc, Mario

CS Canada Research Chair on Electroactive and Photoactive Polymers
Departement de Chimie Centre de Recherche en Sciences et Ingenierie des
Macromolecules Universite Laval, Quebec City, QC, G1K 7P4, Can.

SO Advanced Functional Materials (2005), 15(10), 1671-1682

PB Wiley-VCH Verlag GmbH & Co. KGaA

DT Journal

LA English

AB We have fabricated organic field-effect transistors based on thin films of 2,7-carbazole oligomeric semiconductors 1,4-bis(vinylene-(N-hexyl-2-carbazole))phenylene (CPC), 1,4-bis(vinylene-(N'-methyl-7'-hexyl-2-carbazole))benzene (RCPCR), N-hexyl-2,7-bis(vinylene-(N-hexyl-2-carbazole))carbazole (CCC), and N-methyl-2,7-bis(vinylene-(7-hexyl-N-methyl-2-carbazole))carbazole (RCCCR). The organic semiconductors are deposited by thermal evaporation on bare and chemical modified silicon dioxide surfaces (SiO₂/Si) held at different temps. varying from 25 to 200°C during deposition. The resulting thin films have been characterizing using UV-vis and Fourier-transform IR spectroscopies, SEM, and X-ray diffraction, and the observed top-contact transistor performances have been correlated with thin-film properties. We found that these new π-conjugated oligomers can form highly ordered structures and reach high hole mobilities. Devices using CPC as the active semiconductor have exhibited mobilities as high as 0.3 cm²V⁻¹s⁻¹ with on/off current ratios of up to 107. These features make CPC and 2,7-carbazolenevinylene-based oligomers attractive candidates for device applications.

IT Crystallization temperature

Electric current-potential relationship

Field effect transistors

Hole mobility

Thin film transistors

(carbazolenevinylene-based oligomer thin-film transistors and high
mobility through structural ordering)

IT Electric current carriers

(mobility; carbazolenevinylene-based oligomer thin-film transistors and
high mobility through structural ordering)

IT 844886-65-3P

RL: DEV (Device component use); PEP (Physical, engineering or chemical
process); PYP (Physical process); SPN (Synthetic preparation); PREP
(Preparation); PROC (Process); USES (Uses)
(RCPCR; carbazolenevinylene-based oligomer thin-film
transistors and high mobility through structural ordering)

IT 31110-89-1P 695169-64-3P 844886-59-5P 844886-60-8P 844886-61-9P

844886-62-0P 844886-63-1P 844886-64-2P 887781-97-7P 887781-98-8P

RL: PNU (Preparation, unclassified); RCT (Reactant); PREP (Preparation);
RACT (Reactant or reagent)

(carbazolenevinylene-based oligomer thin-film transistors and high
mobility through structural ordering)

IT 4546-04-7 105365-50-2 695169-57-4

RL: RCT (Reactant); RACT (Reactant or reagent)
(carbazolenevinylene-based oligomer thin-film transistors and high
mobility through structural ordering)

10/573, 883

12/3/09

STN

L23 ANSWER 1 OF 4 COPYRIGHT ACS on STN
AN 2006:1007606 HCPLUS

TI Synthesis of 2,7-carbazolenevinylene-based copolymers and
of their photovoltaic properties

AU Leclerc, Nicolas; Michaud, Alexandre; Sirois, Kathleen; Morin,
Jean-Francois; Leclerc, Mario

CS Departement de Chimie Centre de Recherche en Sciences et Ingenieries des
Macromolecules, Universite Laval, Quebec City, QC, G1K 7P4, Can.

SO Advanced Functional Materials (2006), 16(13), 1694-1704

PB Wiley-VCH Verlag GmbH & Co. KGaA

DT Journal

LA English

AB New electroactive and photoactive conjugated copolymers consisting of
alternating 2,7-carbazole and oligothiophene moieties linked by vinylene groups
were developed. Different oligothiophene units were introduced to study the
relation between the polymer structure and the electronic properties. The
resulting copolymers are characterized by UV-visible spectroscopy, size-
exclusion chromatog., and thermal and electrochem. analyses. Bulk
heterojunction photovoltaic cells from different copolymers and a soluble
fullerene derivative, [6,6]-phenyl-C61 butyric acid Me ester, were fabricated,
and promising preliminary results are obtained. For instance, nonoptimized
devices using poly(N-(4-octyloxyphenyl)-2,7-carbazolenevinylene-alt-3',4'--
dihexyl-2,2':5',2'':5'',2'''',5''',2''''-quinquethiophenevinylene 1'',1'''-
dioxide) as an absorbing and hole-carrier semiconductor exhibit power
conversion efficiency up to 0.8% under air mass (AM) 1.5 illumination. These
features make 2,7-carbazolenevinylene-based and related polymers attractive
candidates for solar-cell applications.

IT Solar energy

(conversion, efficiency; synthesis of 2,7-carbazolenevinylene-based
copolymers and characterization of their photovoltaic properties)

IT Amorphous semiconductors

Heterojunction solar cells

Semiconductor films

(synthesis of 2,7-carbazolenevinylene-based copolymers and
characterization of their photovoltaic properties)

IT 944131-81-1P 944131-86-6P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or
engineered material use); PREP (Preparation); USES (Uses)
(PCVTT; synthesis of 2,7-carbazolenevinylene-based copolymers and
characterization of their photovoltaic properties)

IT 944131-82-2P 944131-87-7P

RL: PRP (Properties); SPN (Synthetic preparation); TEM (Technical or
engineered material use); PREP (Preparation); USES (Uses)
(PCVTTT; synthesis of 2,7-carbazolenevinylene-based copolymers and
characterization of their photovoltaic properties)

IT 3779-27-9P, 5-Formyl-2,2'-bithiophene 120762-66-5P,

4,4'-Diocetyl-2,2'-bithiophene 161746-04-9P 165393-20-4P

2024700-93-6P, 4-Octyl-2-Trimethyltinthiophene 227464-61-1P,

3',4'-Dihexyl-2,2':5',2''-terthiophene-1',1''-dioxide 844886-56-2P, N-(4-
Octyloxyphenyl)-2,7-bis(hydroxymethyl)carbazole 844886-57-3P,

N-(4-Octyloxyphenyl)-2,7-bis(formyl)carbazole 944131-62-8P

944131-66-2P 944131-68-4P 944131-70-8P 944131-72-0P 944131-74-2P

944131-76-4P 944131-77-5P

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PUR
PREP (Preparation); PROC (Process); RACT (Reactant or reagent)

(synthesis of 2,7-carbazolenevinylene-based copolymers and
characterization of their photovoltaic properties)

Date too new
Same Assynopses
+ Inventors as
10/573, 883

Date too New
Some Inventors &
Assignees as
10/573,883

10/573,883

12/3/09

STN

L23 ANSWER 4 OF 4 COPYRIGHT ACS on STN
AN 2004:471220 HCAPLUS

TI Syntheses and Characterization of Electroactive and Photoactive
2,7-Carbazolenevinylene-Based Conjugated Oligomers and Polymers

AU Morin, Jean-Francois; Drolet, Nicolas; Tao, Ye; Leclerc, Mario

CS Canada Research Chair in Electroactive and Photoactive Polymers Centre de
Recherche en Sciences et Ingénierie des Macromolécules Département de
Chimie, Université Laval, Québec City, QC G1K 7P4, Can.

SO Chemistry of Materials (2004), 16(23), 4619-4626
CODEN: CMATEX, ISSN: 0887-4756

PB American Chemical Society

DT Journal

LA English

AB This study reports the first syntheses and characterization of 2,7-
carbazolenevinylene-based oligomers and polymers. Their relatively
straightforward syntheses are mainly based on Horner-Emmons or Knoevenagel
coupling reaction leading to well-defined oligomers and polymers. As expected,
introduction of vinylene and cyanovinylene unit into the polymer backbone
decreases the band gap allowing a fine-tuning of the optical and elec.
properties. These electroactive and photoactive organic materials exhibit
promising performances in light-emitting devices and field-effect transistors.
For instance, preliminary measurements using poly(N-(2-ethylhexyl)-2,7-
carbazolenecyanovinylene-alt-2,5-diocyloxy-1,4- phenylenevinylene) (PCCVP)
have revealed orange-red emission with an intensity of 245 cd/m² at 10 V,
whereas p-type mobility of about $3.7 + 10^{-2}$ cm²/V·s and an on/off ratio as high
as 106 were reached with 1,4-bis(vinylene- (N-hexyl-2- carbazole))phenylene
(CPC).

IT Electric current carriers
(mobility; syntheses and characterization of electroactive and
photoactive 2,7-carbazolenevinylene-based conjugated oligomers and
polymers used in LEDs and FETs)

IT Band gap
Electroluminescent devices
Electronic transition
Field effect transistors
Luminescence, electroluminescence
Oxidation, electrochemical
Polymerization
UV and visible spectra
(syntheses and characterization of electroactive and photoactive
2,7-carbazolenevinylene-based conjugated oligomers and polymers used in
LEDs and FETs)

IT 9003-53-6D, sulfonated
RL: DEV (Device component use); PRP (Properties); USES (Uses)
(dopant for PEDOT; syntheses and characterization of electroactive and
photoactive 2,7-carbazolenevinylene-based conjugated oligomers and
polymers and their use in LEDs containing)